

Request for Activation of Tier-II account under National Pension System (NPS)

To be used by Subscribers having a pre-existing Tier I account under NPS
(To avoid mistake(s), please read the accompanying instructions carefully before filling up the form)

For POP-SP use:

POP-SP Registration No. : _____

Receipt No.:

Grid for Receipt No.

Entered By : _____ Date: _____ Verified By: _____ Date: _____

I hereby submit the following details for activation of Tier - II account under NPS.

Permanent Retirement Account Number (PRAN)*:

Grid for PRAN*

1. Subscriber's Name:

(First Name) (Middle Name) (Last Name)

2. Bank Details (Mandatory):

Savings A/c [] Current A/c [] (please refer to Sr. No. i of the instructions)

Bank A/c Number*

Grid for Bank A/c Number*

Bank Name*

Grid for Bank Name*

Bank Branch*

Grid for Bank Branch*

Bank Address*

Grid for Bank Address*

Pin Code*

Grid for Pin Code*

Bank MICR Code*

Grid for Bank MICR Code*

IFS code (Wherever applicable)

Grid for IFS code

3. Subscriber's Nomination Details (OPTIONAL - please refer to Sr. No. j of the instructions)

Do you want to retain the same nomination as in your Tier I account? [] YES [] NO

If NO, please fill in the details below.

Name of the Nominee:

1st Nominee

2nd Nominee

3rd Nominee

Table with 3 columns for 1st, 2nd, and 3rd Nominee, rows for First Name*, Middle Name, and Last Name.

Date of Birth (In case of a minor)*:

Table with 3 columns for 1st, 2nd, and 3rd Nominee, row for Date of Birth.

Relationship with the Nominee:

Table with 3 columns for 1st, 2nd, and 3rd Nominee, row for Relationship.

Percentage Share:

Table with 3 columns for 1st, 2nd, and 3rd Nominee, row for Percentage Share.

Nominee's Guardian Details (in case of a minor):

1st Nominee's Guardian Details

2nd Nominee's Guardian Details

3rd Nominee's Guardian Details

Table with 3 columns for 1st, 2nd, and 3rd Nominee, rows for First Name*, Middle Name, and Last Name.

4. Subscriber Scheme Preference (Please refer to Sr. No. k of the instructions for further details):**(i). PFM Selection for Active and Auto Choice (Select only one PFM)**

PFM Name (in alphabetical order)	Please tick only one
DSP BlackRock Pension Fund Managers Private Limited	<input type="checkbox"/>
HDFC Pension Management Company Limited	<input type="checkbox"/>
ICICI Prudential Pension Funds Management Company Limited	<input type="checkbox"/>
Kotak Mahindra Pension Fund Limited	<input type="checkbox"/>
LIC Pension Fund Limited	<input type="checkbox"/>
Reliance Capital Pension Fund Limited	<input type="checkbox"/>
SBI Pension Funds Private Limited	<input type="checkbox"/>
UTI Retirement Solutions Limited	<input type="checkbox"/>

(Selection of PFM is mandatory both in Active and Auto Choice. In case you do not indicate a choice of PFM, your application form shall be summarily rejected).

(ii). Investment Option

Active Choice **Auto Choice** (For details on Auto Choice, please refer to the Offer Document)

Note:-

- In case you do not indicate any investment option, your funds will be invested in Auto Choice
- In case you have opted for Auto Choice, DO NOT fill up section (iii) below relating to Asset Allocation. In case you do, the Asset Allocation instructions will be ignored and investment made as per Auto Choice.

(iii). Asset Allocation (to be filled up only in case you have selected the 'Active Choice' investment option)

Asset Class	E (Cannot exceed 50%)	C	G	Total
% share				100%

Note:-

- The allocation across E, C and G asset classes must equal 100%. In case, the allocation is left blank and/or does not equal 100%, the application shall be rejected by the POP.

5. Declaration & Authorization

I hereby declare and agree that (a) I have read and understood the Offer Document, terms & conditions or the same was interpreted to me, and the answers entered in the application are mine. (b) I am a Citizen of India. (c) I have not been found or declared to be of an unsound mind under any law for the time being in force. (d) I am not an undischarged insolvent. (e) I hold a valid Tier I account under NPS.

Income Tax Act, 1961: Tax benefits are available as per the Income Tax Act, 1961, as amended from time to time.

Declaration under the Prevention of Money Laundering Act, 2002

I hereby declare that:

1. The contribution paid has been derived from legally declared and assessed sources of income.
2. I understand that the PFRDA/NPS Trust has the right to peruse my financial profile and also agree that the PFRDA/NPS Trust has the right to close the NPS account in case I am found guilty of violating the provisions of any Law, directly or indirectly, by any Competent Court of Law, having relation to the laws governing prevention of money laundering in the country.

I _____, the applicant, do hereby declare that the information provided above is true to the best of my knowledge & belief. Date : <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> (DD/MM/YYYY)	
	Signature/Thumb Impression* of Subscriber

To be used by subscribers having a pre-existing Tier I account under NPS

To be filled by POP-SP	<div style="border: 1px solid black; width: 150px; height: 30px; margin: 0 auto;"></div>
	Signature of Authorized Signatory
POP-SP Seal	Name : _____ Place : _____
	Designation : _____ Date : _____

INSTRUCTIONS FOR FILLING THE FORM

- a) The form is to be filled by the Subscribers having a PRAN card and Tier 1 account under NPS.
- b) Form to be filled legibly in BLOCK LETTERS and in BLACK INK only. Please fill the form in legible handwriting so as to avoid errors in your application processing. Please do not overwrite. Corrections should be made by cancelling and re-writing and such corrections should be counter-signed by the applicant.
- c) Each box, wherever provided, should contain only one character (alphabet/number/punctuation mark) leaving a blank box after each word. The details marked with (*) are mandatory.
- d) **The Subscriber shall provide copy of PRAN card alongwith the application form.**
- e) Applications incomplete in any respect and/or not accompanied by required documents are liable to be rejected. The application is liable to be rejected if mandatory fields are left blank.
- f) **Government employees (mandatorily covered under NPS) may submit their** application to any POP-SP of their choice. The list of POP-SPs rendering services under NPS is available on CRA website <http://www.npscra.nsdl.co.in>
- g) **Any Indian citizen (other than government employee mandatorily covered under** NPS) may submit the application only to the POP-SP through which they have registered with CRA for Tier 1 account.
- h) Subscribers are advised to retain the acknowledgement slip signed/ stamped by the POP-SP where they submit the application.
- i) **Bank Details :**
 - a. Bank details are mandatory for Tier-II else, account will not be activated. Even if the bank details are same as in Tier II
 - b. Subscriber shall provide **cancelled cheque**, the details of which should match with the details provided under Point No.2 on Page 1. . Even if the bank details are same as in Tier II, the subscriber should provide a 'cancelled cheque'.

j) Subscriber's Nomination Details

Percentage Share	<ol style="list-style-type: none"> 1) Subscriber can nominate a maximum of three nominees. 2) Subscriber cannot fill the same nominee details more than once. 3) Percentage share value for all the nominees must be integer. Decimals/Fractional values shall not be accepted in the nomination(s). 4) Sum of percentage share across all the nominees must be equal to 100. If sum of percentage is not equal to 100, entire nomination will be rejected.
Nominee's Guardian Details	If a nominee is a minor, then nominee's guardian details shall be mandatory.
The nomination exercised for Tier I shall not be automatically applicable to Tier II. A subscriber to Tier II is required to make a fresh nomination. In case, you want to retain the same nomination as in Tier I, please select "YES" by putting tick mark in the box.	

k) Subscriber Scheme Preference

<p>Active choice</p> <ol style="list-style-type: none"> 1. PFM selection is mandatory. The form shall be rejected if a PFM is not opted for. 2. Allocation under Equity (E) cannot exceed 50% 3. A subscriber opting for active choice may select the available asset classes ("E", "G", & "C"). However, the sum of percentage allocation across all the selected asset classes must equal 100. If the sum of percentage allocations is not equal to 100%, or the asset allocation table at Point No. 4 (iii) is left blank, the application shall be rejected. <p>Auto choice</p> <ol style="list-style-type: none"> 4. A subscriber opting for Auto Choice must also select a PFM. The application shall be rejected if the subscriber does not indicate his/her choice of PFM 5. In case both investment option and the asset allocation at Point No. 4 (ii) and Point No. 4 (iii) are left blank, the subscriber's funds will be invested as per Auto Choice <p style="text-align: center;">For more details on investment options and asset classes, please refer to the Offer Document.</p>
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GENERAL INFORMATION FOR SUBSCRIBERS

- The Subscriber can obtain the status of his/her application from the CRA website or through the respective POP-SP.
- For more information
Visit us at <http://www.npscra.nsdl.co.in>
Call us at 022-24994200
e-mail us at info.cra@nsdl.co.in
Write to: Central Recordkeeping Agency, National Securities Depository Limited, 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel (W), Mumbai - 400 013.