

To,

Religare Broking Limited

A-3/4/5, Club 125 (Tower-A), Sector-125, Noida-201301 (U.P.)

SEBI Registration No. and Date:

SEBI Regn No. INZ000174330-May 03, 2018 | NSE CM, F&O, CD, TM Code: 06537 Clearing Member (F&O) No. M50235 | BSE CM, F&O, CD, Commodity Derivatives Clearing No: 3004 | MCX Commodity Derivatives TCM Code: 56560 | NCDEX Commodity Derivatives TCM Code: 01276 | AMFI ARN No. 139809 | NSDL: DP ID: IN 301774 | SEBI Regn. No: IN-DP-385-2018 | CDSL DP ID: 30200 | SEBI Regn. No: IN-DP-385-2018 - October 16, 2018

Sub: Modification in the Common details/additional details mentioned in the KYC.

- 1. I/we _______ having PAN Number ______ registered with you as a client with Client code _______ wish to modify/add Trading Preference and or activate segment to those mentioned by me/us in the KYC forms submitted to you at the time of my enrolment or at any time there after.
- 2. I wish to add/activate the following segments in my trading account:

Exchanges		NSE & BSE MCX & NCD												
All Segments	Cash / Mutual Fund	F&O	Currency	Debt	Commodit Derivative									
ي م														
the segments														
select all														
sign here to														

- 3. This letter is in addition to the authorization/preference given earlier by me/us to you modifying my trading preferences.
- I/We understand that the brokerage applied to my accounting any of the new segments/new exchanges, will remain the same as currently
 offered to me in my trading account for that segments incase of any changes, brokerage specified below to be charged in the specified
 segment -

BROKERAGE (Subject to Change)

Equity Cash Segment (NSE/BSE)													
Brokerage	in%(Subject to Max. of 2.5%)	Minimum Paise (Subject to Max. 25 paise)	One Side/BothSide										
Delivery Based													
Daily Square up													

Equity Derivatives Segment (NSE/BSE)

(B)

Brokerage	in%(subject to Max. 2.5%/ ₹100/- per Lot)	Minimum Paise/Per Lot	One Side/BothSide
Future			
Option			

Currency Derivatives & Interest Rate Futures Segment (NSE/BSE)

Brokerage	Brokerage per Lot (in₹)	One Side/BothSide
Future		
Option		

Commodity Derivatives Segment (BSE/MCX/NCDEX)

BROKERAGE SLAB:			
Exchange and Segment	Brokerage Slab in %	Minimum Paise/ Per Lot	One Side Both Side
BSE, MCX and NCDEX - Future			
Exchange and Segment	in % (subject to max. 2.5% of premium amount or Rs.250/- per lot, whichever is higher)	Minimum Paise/ Per Lot	One Side Both Side
MCX-Option			
Exchange and Segment	in % (subject to max. 2.5% of premium amount or Rs.100/- per lot, whichever is higher)	Minimum Paise/ Per Lot	One Side Both Side
NCDEX-Option			

Note:

If client already running under any margin/upfront plan, the derivative brokerage for client will be as per the running margin/upfront plan only. if present brokerage is applied on both side then brokerage on currency derivative can't be charged one side.

Capital Market:- I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on website : www.religareonline.com

I/We here by declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/We undertake to inform you of any changes there in immediately.

Commodity Derivative:- I have read and understood and received the documents containing Rights and Obligation of Member, Authorized Persons and Clients as prescribed by SEBI and Commodity Exchanges, Risk Disclosure Document, Additional Risk Disclosure Document for options trading and Guidance Note- Do's AND Don'ts for the clients, Policies & Procedure Document

I understand that the Member-Client relationship document executed RBL client if any is construed as an relationship document for respective Segment of Exchange opted above.

Client Signature:

ADDITIONAL INFORMATION RELATED TO CLIENT ACCOUNT



Trading	Codo
nauny	COUE

Trading Code		Client Demat ID
Father's / Husband Name		
Gender 🔄 Male 🔄 Female	Marital Status 🔄 Single 🔄 Mari	ied Date of Birth DD/MM/YYYY
Gross Annual Income Details (please specify)		5-10 Lac
Occupation (Please Specify)	Private Sector Public Sector Hot Agriculturist	Government Service Business Professional
Category (Please Specify)	Domestic financial institutional investors	cipants (VCPs) Proprietary traders
G.S.T. Registration Detail (Optional)	GST Registration Number	State

List of financial documents acceptable (any one) for trading in Derivative segments:

INDIVIDUAL CLIENT (Please tick, whichever provided)	CORPORATE CLIENT (Please tick, whichever provide	d)	
Copy of ITR Acknowledgement (for last financial year)		Copy of Annual Accounts (for last two years)	
In case of salary income – Salary slip, copy of Form 16 (last FY)		Net Worth Certificate (Certified by CA) (Net worth should not be older than 1 year)	
Any other relevant documents substantiating ownership of assets		Copy of ITR Acknowledgement (for last financial year)	
Bank account statement for last 6 months			
Copy of demat account holding statement (not older than 3 months)			

* Board Resolution: Mandatory for Corporate clients

Client Signature: (P)

For Office use only												
	Employee Name	Employee ID	Signature									
Currency segment RM												
Currency segment Dealer												
Commodity segment RM												
Commodity segment Dealer												

Religare Broking Limited

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Subject: Categorization of the clients for each commodity

I/We have been/shall be dealing through you as my/ our member broker on different Commodity Exchanges. I/We hereby declare my/ our category for the commodities mentioned therein, as given below in accordance with SEBI circular no. SEBI/HO/CDMRD/DNPMP/CIR/P/2019/08 dated January 04, 2019:

	CATEGORIES													
Sr. N.	Name of Commodities	Farmer / FPO	Value chain participant (VCP)	Domestic financial institutional investor	Foreign participant	Proprietary trader	Others							
1	BARLEY													
2	CHANA													
3	MAIZE													
4	WHEAT													
5	MOONG													
6	PADDY (BASMATI)													
7	KAPAS / COTTON													
8	BLACK PEPPER													
9	CARDAMOM													
10	CASTOR SEED													
11	GAUR SEED 10 MT													
12	GAUR GUM													
13	SOY BEAN													
14	MUSTARD SEED													
15	CRUDE PALM OIL													
16	MENTHA OIL													
17	RBD PALMOLEIN													
18	REFINED SOY OIL													
19	COTTON SEED OILCAKE													
20	SUGAR M													
21	TURMERIC													
22	JEERA													
23	CORIANDER													
24	CRUDE OIL													
25	NATURAL GAS													
26	ALUMINIUM													
27	BRASS													
28	COPPER													
29	LEAD													
30	NICKEL													
31	ZINC													
32	GOLD													
33	SILVER													

I/We further declare that in case declaration is not provided for any of the particular exchange traded commodity, please consider the "Others" category for the same. I/We undertake that I/we shall inform the member broker in case there is any change in category for any of the exchange traded commodity.

Client Signature													Da	te	D	D	[V]	Μ	Y	Y	Y	Y		
Client Name																								
Client Code																								

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CATEGORIES

- Farmers/FPOs: It includes participants such as farmers, farmers' cooperatives, Famers Producers Organisations (FPOs) and such entities of like nature.
- Value chain participants (VCPs): It includes participants such as Processors, Commercial users as Dal and Flour Millers, Importers, Exporters, Physical Market Traders, Stockists, Cash & Carry participants, Produces, SMEs/MSMEs & Wholesalers etc., but exclude farmers/FPOs.
- Proprietary traders: It includes the members of stock exchanges trading in their proprietary account.
- Domestic financial institutional investors: It includes participants such as Mutual Funds (MFs), Portfolio Managers, Alternative Investment Funds (AIFs), Banks, Insurance Companies and Pension Funds etc., which are allowed to trade in commodity derivatives.
- Foreign participants: It includes participants such as Eligible Foreign Entities (EFEs), NRIs etc. which are allowed to trade in commodity derivatives markets.
- Others: All other participants which cannot be classified in the above categories.